SEC Form 4
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Washington, D.C. 20549

OMB APPROVAL	
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FORM 4

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>VECTOR GROUP LTD</u>				2. Issuer Name <b>and</b> Ticker or Trading Symbol Castle Brands Inc [ ROX ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner				
(Last) 4400 BISCAY 10TH FLOOR	(First) NE BOULEVARI	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 10/31/2013							Officer (give title below)		other (specify elow)		
(Street) MIAMI (City)	FL (State)	33137 (Zip)		4. If Amendment, Date of Original Filed (Month/Day/Year)							vidual or Joint/Group Form filed by One Form filed by More	Reporting F	Person		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned															
1. Title of Security (Instr. 3) 2. Trans Date (Month/				action Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8) 4. Securities Acq Disposed Of (D) (				5. Amount of Securities Beneficially Owned Following Reported	6. Ownersl Form: Dire (D) or Indir (I) (Instr. 4)	ct Indirect ect Beneficial			
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)			

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		Derivative		6. Date Exerc Expiration Da (Month/Day/)	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		(Instr. 4)		
5% Subordinated Convertible Note due 2018	\$0.9	10/31/2013		р		\$200,000		10/31/2013	12/15/2018	Common Stock	222,222 <sup>(1)</sup>	\$200,000	\$200,000	D	

Explanation of Responses:

1. Based on the initial principal amount of the 5% Subordinated Convertible Promissory Note (the "Note"). Interest on the Note is payable in cash on a quarterly basis; however, the Note and accrued but unpaid interest thereon are convertible into shares of Common Stock in whole or in part from time to time at the option of the holder.

## Remarks:

## VECTOR GROUP LTD. BY:/s/

J. Bryant Kirkland III, Vice President and Chief Financial Officer

11/04/2013

Date

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.